

Authority Budget of:

ADOPTED COPY

Lambertville Municipal Utilities Authority

State Filing Year

2020

For the Period:

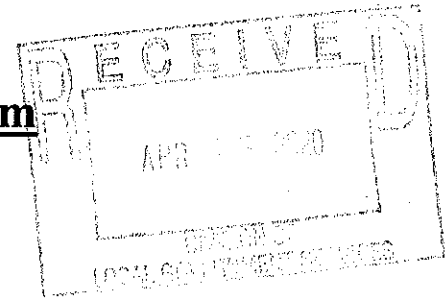
July 1, 2020

to

June 30, 2021

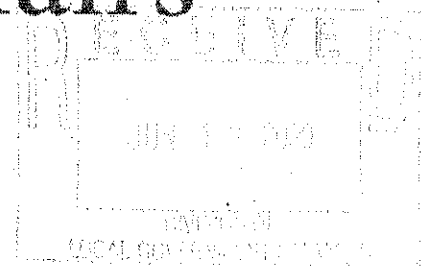
www.lambertvillemua.com

Authority Web Address



APPROVED COPY

**NJ DEPARTMENT OF
Community Affairs**



Division of Local Government Services

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Lambertville MUA

Lambertville MUA

**2021 LAMBERTVILLE MUNICIPAL UTILITIES
AUTHORITY
BUDGET**

Certification Section

2021

**LAMBERTVILLE MUNICIPAL UTILITIES
AUTHORITY BUDGET**

FISCAL YEAR: FROM JULY 1, 2020 TO JUNE 30, 2021

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwent CPA, RMA Date: 5/6/2020

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwent CPA, RMA Date: 6/18/2020

2021 PREPARER'S CERTIFICATION

LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY BUDGET

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:	<i>Thomas F. Horn</i>		
Name:	Thomas F. Horn		
Title:	Executive Director		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address	thorn@lambertvillemua.com		


2021 APPROVAL CERTIFICATION

LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY BUDGET

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Lambertville Municipal Utilities Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 7th day of April, 2020.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	Russell Lambert		
Title:	Secretary		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address	Rlambert0125@icloud.com		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address:	www.lambertvillemua.com
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All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information (**Similar Information is such as PIE Charts, Bar Graphs etc. for such items as Revenues, Expenditures, and other information the Authority deems relevant to inform the public**)
- The complete (All Pages) annual audits (Not the Audit Synopsis) of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- The approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

Thomas F. Horn

Title of Officer Certifying compliance

Executive Director

Signature

Thomas F. Horn

**2021 AUTHORITY BUDGET RESOLUTION
RESOLUTION 030-2020
LAMBERTVILLE MUNICIPAL UTILITIES
AUTHORITY**

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

WHEREAS, the Annual Budget and Capital Budget for the Lambertville Municipal Utilities Authority for the fiscal year beginning, July 1, 2020 and ending, June 30, 2021 has been presented before the governing body of the Lambertville Municipal Utilities Authority at its open public meeting of April 7, 2020; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$2,085,544, Total Appropriations, including any Accumulated Deficit if any, of \$2,042,272 and Total Unrestricted Net Position utilized of 72,000; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$592,800 and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$79,000; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the Lambertville Municipal Utilities Authority, at an open public meeting held on April 7, 2020 that the Annual Budget, including all related schedules, and the Capital Budget/Program of the Lambertville Municipal Utilities Authority for the fiscal year beginning, July 1, 2020 and ending, June 30, 2021 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the Lambertville Municipal Utilities Authority will consider the Annual Budget and Capital Budget/Program for adoption on June 2, 2020.

Moved: Mrs. MacGregor
Second: Mr. Rotondi

Governing Body Member	<u>Recorded Vote:</u>				
	Aye	Nay	Abstain	Not Voting	Not Present
Janine MacGregor	X				
Vincent Uhl	X				
Paul Rotondi	X				
Russell Lambert	X				
Helen Pettit	X				
Jacqueline Middleton					X
Michael Gaudio				X	



Secretary Lambert

April 7, 2020
(Date)

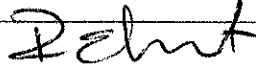
2021 ADOPTION CERTIFICATION

LAMBERTVILLE MUNICIPAL UTILITIES

AUTHORITY BUDGET

FISCAL YEAR: FROM: 07/01/2020 TO: 06/30/2021

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Lambertville Municipal Utilities Authority, pursuant to N.J.A.C. 5:31-2.3, on the 2nd day of, June, 2020.

Officer's Signature:			
Name:	Russell Lambert		
Title:	Secretary		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address	Rlambert0125@icloud.com		

**FY 2021 ADOPTED BUDGET RESOLUTION
RESOLUTION 036-2020
LAMBERTVILLE MUNICIPAL UTILITIES
AUTHORITY**

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

WHEREAS, the Annual Budget and Capital Budget for the Lambertville Municipal Utilities Authority for the fiscal year beginning July 1, 2020 and ending, June 30, 2021 has been presented for adoption before the governing body of the Lambertville Municipal Utilities Authority at its open public meeting of June 2, 2020; and

WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

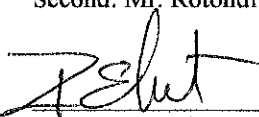
WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$2,085,544, Total Appropriations, including any Accumulated Deficit, if any, of \$2,042,272 and Total Unrestricted Net Position utilized of \$72,000; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$592,800 and Total Unrestricted Net Position planned to be utilized of \$79,000; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of Lambertville Municipal Utilities Authority, at an open public meeting held on June 2, 2020 that the Annual Budget and Capital Budget of the Lambertville Municipal Utilities Authority for the fiscal year beginning, July 1, 2020 and, ending, June 30, 2021 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

Motion: Mrs. MacGregor
Second: Mr. Rotondi



Secretary Lambert

June 2, 2020
(Date)

Governing Body Member	<u>Recorded Vote:</u>		Abstain	Not Voting	Not Present
	Aye	Nay			
Janine MacGregor	X				
Vincent Uhl	X				
Paul Rotondi	X				
Russell Lambert	X				
Helen Pettit	X				
Jacqueline Middleton				X	
Michael Gaudio				X	

**2021 LAMBERTVILLE MUNICIPAL UTILITIES
AUTHORITY BUDGET**

Narrative and Information Section

2021 AUTHORITY BUDGET MESSAGE & ANALYSIS LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY BUDGET

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2021 proposed Annual Budget and make comparison to the 2020 adopted budget for each Revenues and Appropriations. Explain any variances over +/-10% (As shown on budget pages F-2 and F-4 explain the reason for changes for each revenue and appropriation changing more than 10%) for each individual revenue and appropriation line item. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item.

The Authority's 2021 budget shows an increase of \$63,493 or 3.3%. The increase is due to increase in various line items to reflect increases shown during the current fiscal year. On the revenue side, connection fees are anticipated to decrease by 20.7% as an indication of less development in our service area. Professional Services are anticipated to increase due to greater trustee fees from a new loan from the NJIB and legal expenses from new capital projects. The cost of chemicals for providing services are anticipated to increase due to additional odor control chemicals being used. Miscellaneous COPS for providing services is anticipated to increase based on additional safety wear for COVID-19 protection. The interest portion of our debt service is projected to decrease as reflected in the payment schedule from the New Jersey Infrastructure Bank. There are other minor increases throughout the proposed annual budget to reflect increases in anticipated expenses.

2. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program. (Example Consider New Development projects such as Housing /Commercial projects impact on the Authorities expenses or revenues)

The corona virus pandemic has had an impact on the local economy and a corresponding minor impact on revenues collected for the current budget and probably also on the proposed budget. Based on revenues for the month of April, 2020, we have seen a slight decrease in revenues collected, primarily from commercial accounts that have a surcharge for higher water usage. Residential user fees have remained relatively steady. We do not anticipate any issues with meeting the proposed expenditures so no deficit is anticipated.

3. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget and or Capital Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

Unrestricted Net Position is utilized in the budget to fund a portion of the 2020 Capital Program and to transfer funds to the City of Lambertville to use to help balance their budget.

4. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service payments, pilot payments, or other types of contracts or agreements (Example to provide police services to the Authority etc. and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

Unrestricted Net Position will be used to transfer funds to the City of Lambertville as a budget subsidy to help balance the City's budget.

5. The proposed budget must not reflect an anticipated deficit from 2020/2020-2021 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

The Authority intends to continue paying the pension bill from the State for the Authority's portion of the pension liability until sometime in the future that the liability is eliminated.

6. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) if it has been changed since the prior year budget submission and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable. (If no changes to fees or rates indicate.

Attached is a copy of the Authority's current rate schedule. These rates went into effect on July 1, 2019. No increase in user fees is anticipated for the upcoming fiscal year. Connection fees may change. Our accountant has not calculated the permissible connection fee and the Commissioners have not considered if the connection fee will or will not be changed.



LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY RATE SCHEDULE

Rate Schedule as OF JULY 1, 2019

I. CONNECTION PERMIT FEE:

Connection Fee: The Authority requires each new customer to pay a pro rata share of the capital expense of the entire sewerage system.

Per EDU \$6,198.00

Reconnection Fee: Should an old lateral be tested in good working order, the customer is to pay for the cost of inspection and reactivation of the account.

Per EDU \$100.00

II. SEWER UNIT RENTAL SCHEDULE:

The following service charges be and the same hereby fixed and officially established by the Authority as the service charges or rentals to be charged for the use of any sewerage system of the Authority.

A. FLAT RATES:

Charges for sewerage disposal service for premises with un-metered water connections will be as follows:

Unit Type	Per Day	Per Quarter	Per Year
Residential	\$1.35	\$123.00	\$492.00
Commercial	\$1.68	\$153.00	\$612.00
Qualified	\$1.01	\$92.25	\$369.00
Senior Citizen			

The charge for a Bed & Breakfast and Hotel/Motel shall be one EDU for the first room and a pro-rata share of that charge for each additional room for rent as follows:

Customers	1 st EDU	Pro Rata Share
Bed & Breakfast	\$123.00	\$41.00
Hotel/ Motel	\$123.00	\$61.49

B. METERED RATES:

Charges for sewer disposal service, unless otherwise stipulated herein, will be based upon water consumed on the premises as measured by the meter or meters used for this purpose in accordance with the following schedule of rates:

Use Per Quarter	Per Thousand Gallons
For the first 10,000 gallons per quarter	\$6.42
For the next 15,000 gallons per quarter	\$5.66
For the next 100,000 gallons per quarter	\$4.87
For all over 125,000 gallons per quarter	\$3.48

But in no event less than the following MINIMUM CHARGES:

Meter Size	Per Quarter
5/8 inch	\$153.00
3/4 inch	\$183.83
1 inch	\$207.05
1 1/2 inch	\$232.44
2 inch	\$369.78
3 inch	\$612.77
4 inch	\$982.54
6 inch	\$1,450.09

AUTHORITY CONTACT INFORMATION

2021

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:	Lambertville Municipal Utilities Authority		
Federal ID Number:	22-6016388		
Address:	P.O. Box 300		
City, State, Zip:	Lambertville	NJ	08530
Phone: (ext.)	609-397-1496	Fax:	609-397-1184

Preparer's Name:	Thomas F. Horn		
Preparer's Address:	P.O. Box 300		
City, State, Zip:	Lambertville	NJ	08530
Phone: (ext.)	609-397-1496	Fax:	609-397-1184
E-mail:	thorn@lambertvillemua.com		

Chief Executive Officer:	Thomas F. Horn		
Phone: (ext.)	609-397-1496	Fax:	609-397-1184
E-mail:	thorn@lambertvillemua.com		

Chief Financial Officer	Kathy Leary		
Phone: (ext.)	609-397-1496	Fax:	609-397-1184
E-mail:	kleary@lambertvillemua.com		

Name of Auditor:	Robert Butvilla		
Name of Firm:	Suplee, Clooney & Company		
Address:	308 Eat Broad Street		
City, State, Zip:	Westfield	NJ	07090
Phone: (ext.)	908-789-9300	Fax:	908-789-8535
E-mail:	Butvilla@aol.com		

AUTHORITY INFORMATIONAL QUESTIONNAIRE

LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in (Use Most Recent W-3 Available 2018 or 2019) as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 15
- 2) Provide the amount of total salaries and wages as reported on the Authority's Form W-3, (Use Most Recent W-3 Available 2018 or 2019) Transmittal of Wage and Tax Statements: \$511,184
- 3) Provide the number of regular voting members of the governing body: 5 (Even if not all commissioners have been appointed (Total Commissioners are either 5 or 7) per statute for your Authority)
- 4) Provide the number of alternate voting members of the governing body: 2 (Maximum is 2)
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? No If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year (Most Recent Filing that March 31, 2019 or 2020 deadline has passed 2019 or 2020) because of their relationship with the Authority file the form as required? (Checked to see if individuals actually filed at <http://www.state.nj.us/dca/divisions/dlgs/resources/fds.html> before answering) Yes If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? No If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? No
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? No
 - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? NoIf the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. No If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. *Attach a narrative of your Authority's procedures for all individuals listed on Page N-4 (2 of 2).*

- 11) Did the Authority pay for meals or catering during the current fiscal year? No If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.
- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? No If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority?
- First class or charter travel No
 - Travel for companions No
 - Tax indemnification and gross-up payments No
 - Discretionary spending account No
 - Housing allowance or residence for personal use No
 - Payments for business use of personal residence No
 - Vehicle/auto allowance or vehicle for personal use No
 - Health or social club dues or initiation fees No
 - Personal services (i.e.: maid, chauffeur, chef) No
- If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? Yes If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses. (If your authority does not allow for reimbursements indicate that in answer)
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? No If "yes," attach explanation including amount paid.
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? No If "yes," attach explanation including amount paid.
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? Not Applicable If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future. (If no bonded Debt answer is Not Applicable). (New Jersey Infrastructure Trust Loans are not bonded debt of an Authority)
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? No If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? No If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.

LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR 2020 BUDGET

PAGE N-3, QUESTION 10 ATTACHMENT

Question 10. Compensation for Chair, Commissioners and Alternate Commissioners is set by Ordinance of Council of the City of Lambertville. Compensation for Executive Director is set by review and approval of Commissioners and then included in written employment contract. Compensation for Chief Financial Officer is set by review and approval of Commissioners.

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS
LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY**

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: (Use the Most Recent W-2 available 2018 or 2019. The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2020, the most recent W-2 and 1099 should be used 2019 or 2018 (60 days prior to start of budget year is November 1, 2019, with 2018 being the most recent calendar year ended), and for fiscal years ending June 30, 2020, the calendar year 2019 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2019, with 2019 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

Lambertville Municipal Utilities Authority

to June 30, 2021

For the Period July 1, 2020

A B C D E F G H I J K L M N O P Q R S T
 Position (Can Check more than 1 Column for each person) Reportable Compensation from Authority (W-2/1099)

Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Officer	Key Employee	Highest Compensated Employee	Former	Base Salary/Stipend	Bonus	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body (1) See note below	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities
1 Janine MacGregor	Chair	2	x	x				1,000				1,000	None					1,000
2 Vincent Uhl	Vice Chair	1	x	x				900				900	None					900
3 Russell Lambert	Secretary	1	x	x				900				900	None					900
4 Paul Rotondi	Treasurer	1	x	x				900				900	None					900
5 Helen Petit	Member	1	x					900				900	None					900
6 Jacqueline Middleton	Alt #1	1	x					900				900	None					900
7 Michael Gaudio	Alt #2	1	x					900				900	None					900
8 Thomas Horn	Executive Director	40			x			129,600	1,700	48,483	48,483	179,783	None					179,783
9 Kathy Leary	Chief Financial Officer	40			x		67,300	1,450	33,972	33,972	102,722	None						102,722
10																		
11																		
12																		
13																		
14																		
15																		
Total:								\$ 203,300	\$ 3,150	\$ -	\$ 82,455	\$ 288,905						\$ 288,905

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

Schedule of Health Benefits - Detailed Cost Analysis

Lambertville Municipal Utilities Authority
 For the Period July 1, 2020 to June 30, 2021

If Not Applicable X this box Below

	Annual Cost		# of Covered Members	# of Covered Members (Medical & Rx)	Total Cost Estimate Proposed Budget	Annual Cost per Employee Proposed Budget	Annual Cost per Employee Current Year	Total Prior year Year Cost	\$ Increase (Decrease)	% Increase (Decrease)
	# of Covered Members (Medical & Rx) Proposed Budget	Annual Cost Estimate Proposed Budget								
Active Employees - Health Benefits - Annual Cost										
Single Coverage	1	\$ 11,225	1	1	\$ 11,225	\$ 11,225	11,225	\$ -	-	0.0%
Parent & Child	0	-	-	-	-	-	-	-	-	#DIV/0!
Employee & Spouse (or Partner)	1	22,500	1	1	22,500	22,015	22,015	485	2.2%	2.2%
Family	5	30,300	5	5	151,500	31,327	156,635	(5,135)	-3.3%	-3.3%
Employee Cost Sharing Contribution (enter as negative -)					(29,300)		(26,375)	(2,925)	11.1%	11.1%
Subtotal	7	155,925	7	7	155,925		163,500	(7,575)	-4.6%	-4.6%
Commissioners - Health Benefits - Annual Cost										
Single Coverage										#DIV/0!
Parent & Child										#DIV/0!
Employee & Spouse (or Partner)										#DIV/0!
Family										#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)										#DIV/0!
Subtotal	0	-	0	0	-	-	-	-	-	#DIV/0!
Retirees - Health Benefits - Annual Cost										
Single Coverage										#DIV/0!
Parent & Child										#DIV/0!
Employee & Spouse (or Partner)										#DIV/0!
Family										#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)										#DIV/0!
Subtotal	0	-	0	0	-	-	-	-	-	#DIV/0!
GRAND TOTAL	7	\$ 155,925	7	7	\$ 163,500		\$ 163,500	\$ (7,575)	-4.6%	-4.6%

Is medical coverage provided by the SHBP (Yes or No)? (Place Answer in Box)
 Is prescription drug coverage provided by the SHBP (Yes or No)? (Place Answer in Box)

Yes	Yes or No
No	Yes or No

Note: Remember to Enter an amount in rows for Employee Cost Sharing

Schedule of Accumulated Liability for Compensated Absences

Lambertville Municipal Utilities Authority

For the Period

July 1, 2020

to

June 30, 2021

Complete the below table for the Authority's accrued liability for compensated absences.
 X Box if Authority has no Compensated Absences

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at End of Last Issued Audit Report	Dollar Value of Accrued Compensated Absence Liability	Approved Labor Agreement	Resolution	Individual Employment Agreement
Thomas Horn	57	\$ 5,000			x
Kathy Leary	87	\$ 5,000		x	
Melissa Ege	45.5	\$ 3,475		x	
David Rose	153.5	\$ 4,450		x	
Bryan Connor	96	\$ 3,765	x		
James Mulligan	26.5	\$ 3,095	x		
Michael Schneider	27	\$ 3,215	x		
Bruce Lee	10	366	x		
Total liability for accumulated compensated absences at beginning of current year		\$ 28,366			

The total Amount Should agree to most recently issued audit report for the Authority

Schedule of Shared Service Agreements

Lambertville Municipal Utilities Authority

July 1, 2020 to June 30, 2021

If No Shared Services X this Box. X

Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.

Name of Entity Providing Service	Name of Entity Receiving Service	Type of Shared Service Provided	Comments (Enter more specifics if needed)	Agreement Effective Date	Agreement End Date	Amount to be Received by/ Paid from Authority

**2021 LAMBERTVILLE MUNICIPAL UTILITIES
AUTHORITY
BUDGET**

Financial Schedules Section

SUMMARY

Lambertville Municipal Utilities Authority
 For the Period July 1, 2020 to June 30, 2021

	FY 2021 Proposed Budget					FY 2020 Adopted Budget		\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Wastewater	Operation #2	N/A	N/A	N/A	Total All Operations	Total All Operations		
REVENUES									
Total Operating Revenues	\$ 2,084,544	\$ -	\$ -	\$ -	\$ -	\$ 2,084,544	\$ 2,066,519	\$ 18,025	0.9%
Total Non-Operating Revenues	1,000	-	-	-	1,000	1,000	-	-	0.0%
Total Anticipated Revenues	2,085,544	-	-	-	2,085,544	2,067,519	18,025	0.9%	
APPROPRIATIONS									
Total Administration	598,465	-	-	-	598,465	566,250	32,215	5.7%	
Total Cost of Providing Services	914,085	-	-	-	914,085	881,950	32,135	3.6%	
Total Principal Payments on Debt Service in Lieu of Depreciation	395,962	-	-	-	395,962	387,299	8,663	2.2%	
Total Operating Appropriations	1,908,512	-	-	-	1,908,512	1,835,499	73,013	4.0%	
Total Interest Payments on Debt	61,760	-	-	-	61,760	71,280	(9,520)	-13.4%	
Total Other Non-Operating Appropriations	72,000	-	-	-	72,000	72,000	-	0.0%	
Total Non-Operating Appropriations	133,760	-	-	-	133,760	143,280	(9,520)	-6.6%	
Accumulated Deficit	-	-	-	-	-	-	-	-	#DIV/0!
Total Appropriations and Accumulated Deficit	2,042,272	-	-	-	2,042,272	1,978,779	63,493	3.2%	
Less: Total Unrestricted Net Position Utilized	72,000	-	-	-	72,000	72,000	-	0.0%	
Net Total Appropriations	1,970,272	-	-	-	1,970,272	1,906,779	63,493	3.3%	
ANTICIPATED SURPLUS (DEFICIT)	\$ 115,272	\$ -	\$ -	\$ -	\$ 115,272	\$ 160,740	\$ (45,468)	-28.3%	

Prior Year Adopted Revenue Schedule

Lambertville Municipal Utilities Authority

FY 2020 Adopted Budget

	Operation					Total All Operations
	Wastewater	#2	N/A	N/A	N/A	
OPERATING REVENUES						
<i>Service Charges</i>						
Residential	1,003,316					\$ 1,003,316
Business/Commercial	163,213					163,213
Industrial	64,300					64,300
Intergovernmental	802,230					802,230
Other						-
Total Service Charges	2,033,059	-	-	-	-	2,033,059
<i>Connection Fees</i>						
Residential	23,460					23,460
Business/Commercial						-
Industrial						-
Intergovernmental						-
Other						-
Total Connection Fees	23,460	-	-	-	-	23,460
<i>Parking Fees</i>						
Meters						-
Permits						-
Fines/Penalties						-
Other						-
Total Parking Fees	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>						
Other Rev	10,000					10,000
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Type in (Grant, Other Rev)						-
Total Other Revenue	10,000	-	-	-	-	10,000
Total Operating Revenues	2,066,519	-	-	-	-	2,066,519
NON-OPERATING REVENUES						
<i>Other Non-Operating Revenues (List)</i>						
Type in						-
Type in						-
Type in						-
Type in						-
Type in						-
Type in						-
Other Non-Operating Revenues	-	-	-	-	-	-
<i>Interest on Investments & Deposits</i>						
Interest Earned	1,000					1,000
Penalties						-
Other						-
Total Interest	1,000	-	-	-	-	1,000
Total Non-Operating Revenues	1,000	-	-	-	-	1,000
TOTAL ANTICIPATED REVENUES	\$ 2,067,519	\$ -	\$ -	\$ -	\$ -	\$ 2,067,519

Appropriations Schedule

Lambertville Municipal Utilities Authority

For the Period July 1, 2020 to June 30, 2021

	FY 2021 Proposed Budget						FY 2020 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Operation					Total All Operations	Total All Operations	All Operations	All Operations
	Wastewater	#2	N/A	N/A	N/A	N/A			
OPERATING APPROPRIATIONS									
<i>Administration - Personnel</i>									
Salary & Wages	\$ 254,650					\$ 254,650	\$ 246,300	\$ 8,350	3.4%
Fringe Benefits	137,415					137,415	125,550	11,865	9.5%
Total Administration - Personnel	392,065	-	-	-	-	392,065	371,850	20,215	5.4%
<i>Administration - Other (List)</i>									
Professional Services	89,000					89,000	78,000	11,000	14.1%
Insurance	56,000					56,000	53,500	2,500	4.7%
Office Supplies	9,000					9,000	8,500	500	5.9%
Permits	28,000					28,000	30,000	(2,000)	-6.7%
Miscellaneous Administration*	24,400					24,400	24,400	-	0.0%
Total Administration - Other	206,400	-	-	-	-	206,400	194,400	12,000	6.2%
Total Administration	598,465	-	-	-	-	598,465	566,250	32,215	5.7%
<i>Cost of Providing Services - Personnel</i>									
Salary & Wages	305,000					305,000	303,000	2,000	0.7%
Fringe Benefits	164,585					164,585	154,450	10,135	6.6%
Total COPS - Personnel	469,585	-	-	-	-	469,585	457,450	12,135	2.7%
<i>Cost of Providing Services - Other (List)</i>									
Chemicals	66,000					66,000	58,000	8,000	13.8%
Utilities	147,700					147,700	147,700	-	0.0%
Sludge	100,000					100,000	100,000	-	0.0%
Maintenance Services	90,500					90,500	85,500	5,000	5.8%
Miscellaneous COPS*	40,300					40,300	33,300	7,000	21.0%
Total COPS - Other	444,500	-	-	-	-	444,500	424,500	20,000	4.7%
Total Cost of Providing Services	914,085	-	-	-	-	914,085	881,950	32,135	3.6%
Total Principal Payments on Debt Service in Lieu of Depreciation	395,962	-	-	-	-	395,962	387,299	8,663	2.2%
Total Operating Appropriations	1,908,512	-	-	-	-	1,908,512	1,835,499	73,013	4.0%
NON-OPERATING APPROPRIATIONS									
Total Interest Payments on Debt	61,760	-	-	-	-	61,760	71,280	(9,520)	-13.4%
Operations & Maintenance Reserve									#DIV/0!
Renewal & Replacement Reserve									#DIV/0!
Municipality/County Appropriation	72,000					72,000	72,000	-	0.0%
Other Reserves									#DIV/0!
Total Non-Operating Appropriations	133,760	-	-	-	-	133,760	143,280	(9,520)	-6.6%
TOTAL APPROPRIATIONS	2,042,272	-	-	-	-	2,042,272	1,978,779	63,493	3.2%
ACCUMULATED DEFICIT									
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	2,042,272	-	-	-	-	2,042,272	1,978,779	63,493	3.2%
UNRESTRICTED NET POSITION UTILIZED									
Municipality/County Appropriation	72,000					72,000	72,000	-	0.0%
Other									#DIV/0!
Total Unrestricted Net Position Utilized	72,000	-	-	-	-	72,000	72,000	-	0.0%
TOTAL NET APPROPRIATIONS	\$ 1,970,272	\$ -	\$ -	\$ -	\$ -	\$ 1,970,272	\$ 1,906,779	\$ 63,493	3.3%

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 95,425.60 \$ - \$ - \$ - \$ - \$ - \$ - \$ 95,425.60

Prior Year Adopted Appropriations Schedule

Lambertville Municipal Utilities Authority

FY 2020 Adopted Budget

	Operation					Total All Operations
	Wastewater	#2	N/A	N/A	N/A	
OPERATING APPROPRIATIONS						
<i>Administration - Personnel</i>						
Salary & Wages	\$ 246,300					\$ 246,300
Fringe Benefits	125,550					125,550
Total Administration - Personnel	371,850	-	-	-	-	371,850
<i>Administration - Other (List)</i>						
Professional Services	78,000					78,000
Insurance	53,500					53,500
Office Supplies	8,500					8,500
Permits	30,000					30,000
Miscellaneous Administration*	24,400					24,400
Total Administration - Other	194,400	-	-	-	-	194,400
Total Administration	566,250	-	-	-	-	566,250
<i>Cost of Providing Services - Personnel</i>						
Salary & Wages	303,000					303,000
Fringe Benefits	154,450					154,450
Total COPS - Personnel	457,450	-	-	-	-	457,450
<i>Cost of Providing Services - Other (List)</i>						
Chemicals	58,000					58,000
Utilities	147,700					147,700
Sludge	100,000					100,000
Maintenance Services	85,500					85,500
Miscellaneous COPS*	33,300					33,300
Total COPS - Other	424,500	-	-	-	-	424,500
Total Cost of Providing Services	881,950	-	-	-	-	881,950
Total Principal Payments on Debt Service in Lieu of Depreciation	387,299	-	-	-	-	387,299
Total Operating Appropriations	1,835,499	-	-	-	-	1,835,499
NON-OPERATING APPROPRIATIONS						
Total Interest Payments on Debt	71,280	-	-	-	-	71,280
Operations & Maintenance Reserve						-
Renewal & Replacement Reserve						-
Municipality/County Appropriation	72,000					72,000
Other Reserves						-
Total Non-Operating Appropriations	143,280	-	-	-	-	143,280
TOTAL APPROPRIATIONS	1,978,779	-	-	-	-	1,978,779
ACCUMULATED DEFICIT						-
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	1,978,779	-	-	-	-	1,978,779
UNRESTRICTED NET POSITION UTILIZED						
Municipality/County Appropriation	72,000	-	-	-	-	72,000
Other						-
Total Unrestricted Net Position Utilized	72,000	-	-	-	-	72,000
TOTAL NET APPROPRIATIONS	\$ 1,906,779	\$ -	\$ -	\$ -	\$ -	\$ 1,906,779

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 91,774.95 \$ - \$ - \$ - \$ - \$ - \$ - \$ 91,774.95

Debt Service Schedule - Principal

Lambertville Municipal Utilities Authority

If Authority has no debt X this box

	Fiscal Year Ending in										Total Principal Outstanding
	Adopted Budget Year 2020	Proposed Budget Year 2021	2022	2023	2024	2025	2026	Thereafter			
<i>Wastewater</i>											
2002 NJEIT	\$ 114,036	\$ 120,066	\$ 125,936	\$ 123,621							\$ 369,623
2003 NJEIT	78,754	77,388	84,057	82,654	89,175						333,274
2010A NJEIT	175,509	180,508	185,509	190,508	190,509	195,508	194,508	828,032			1,965,082
2010B NJEIT	19,000	18,000	18,000	18,000	18,000	18,000	18,000	62,240			170,240
Total Principal	387,299	395,962	413,502	414,783	297,684	213,508	212,508	890,272			2,838,219
<i>Operation #2</i>											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Total Principal											
N/A											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Total Principal											
N/A											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Total Principal											
N/A											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Total Principal											
N/A											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Type in Issue Name											
Total Principal											
TOTAL PRINCIPAL ALL OPERATIONS	\$ 387,299	\$ 395,962	\$ 413,502	\$ 414,783	\$ 297,684	\$ 213,508	\$ 212,508	\$ 890,272	\$ 890,272	\$ 2,838,219	

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

	<i>Fitch</i>	<i>Standard & Poors</i>	
Bond Rating			
Year of Last Rating			

Debt Service Schedule - Interest

Lambertville Municipal Utilities Authority

If Authority has no debt X this box

	Adopted Budget Year 2020	Proposed Budget Year 2021	Fiscal Year Ending in					Thereafter	Total Interest Payments Outstanding
			2022	2023	2024	2025	2026		
<i>Wastewater</i>									
2002 NJEIT	\$ 12,437	\$ 9,062	\$ 5,437	\$ 1,781				\$ 16,280	
2003 NJEIT	10,613	8,363	6,113	3,800	1,306			19,582	
2010A NJEIT	42,940	39,520	36,140	32,460	28,660	20,720	38,280	220,560	
2010B NJEIT	5,290	4,815	4,365	3,915	3,465	2,565	6,345	28,485	
Total Interest Payments	71,280	61,760	52,055	41,956	33,431	23,285	44,625	284,907	
<i>Operation #2</i>									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Interest Payments									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Interest Payments									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Interest Payments									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Interest Payments									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Interest Payments									
TOTAL INTEREST ALL OPERATIONS	\$ 71,280	\$ 61,760	\$ 52,055	\$ 41,956	\$ 33,431	\$ 23,285	\$ 44,625	\$ 284,907	

Net Position Reconciliation

Lambertville Municipal Utilities Authority

For the Period July 1, 2020 to June 30, 2021

FY 2021 Proposed Budget

	Wastewater	Operation #2	N/A	N/A	N/A	N/A	Total All Operations
TOTAL NET POSITION BEGINNING OF LATEST AUDIT REPORT YEAR(1)	\$ 5,196,279						\$ 5,196,279
Less: Invested in Capital Assets, Net of Related Debt (1)	4,709,184						4,709,184
Less: Restricted for Debt Service Reserve (1)	474,214						474,214
Less: Other Restricted Net Position (1)	803,906						803,906
Total Unrestricted Net Position (1)	(791,025)						(791,025)
Less: Designated for Non-Operating Improvements & Repairs							-
Less: Designated for Rate Stabilization							-
Less: Other Designated by Resolution							-
Plus: Accrued Unfunded Pension Liability (1)							-
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)							-
Plus: Estimated Income (Loss) on Current Year Operations (2)							-
Plus: Other Adjustments (attach schedule)							-
	1,398,848						1,398,848
UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET	607,823						607,823
Unrestricted Net Position Utilized to Balance Proposed Budget	-						-
Unrestricted Net Position Utilized in Proposed Capital Budget	79,000						79,000
Appropriation to Municipality/County (3)	72,000						72,000
Total Unrestricted Net Position Utilized in Proposed Budget	151,000						151,000
PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR	\$ 456,823	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 456,823
Last issued Audit Report (4)							

(1) Total of all operations for this-line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County

\$ 95,426

(4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2021

LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

AUTHORITY
CAPITAL
BUDGET/
PROGRAM

2021 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

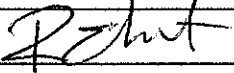
[X]

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Lambertville Municipal Utilities Authority, on the 7th day of April, 2020.

OR

[]

It is hereby certified that the governing body of the _____ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): _____

Officer's Signature:			
Name:	Russell Lambert		
Title:	Secretary		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address	Rlambert0125@icloud.com		

2021 CAPITAL BUDGET/PROGRAM MESSAGE

LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: JULY 1, 2020 TO: JUNE 30, 2021

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program (This may include the governing body or certain officials such as planning boards, Construction Code Officials) as to these Projects?

Yes.

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority?

Yes.

3. Has a long-term (5 years or more) infrastructure needs and other capital items (Vehicles, Equipment) needs assessment been prepared?

Yes.

4. If amounts are on Page CB-3 in the column Debt Authorizations. Indicate the primary source of funding the debt service for the Debt Authorizations (Example Rate Increases Funding or Other sources)

The Authority is in the process of borrowing money from the New Jersey Infrastructure Bank for a number of projects.

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

Not Applicable.

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan.

Not Applicable.

Add additional sheets if necessary.

Proposed Capital Budget

Lambertville Municipal Utilities Authority
 For the Period July 1, 2020 to June 30, 2021

		Funding Sources					
		Estimated Total Cost	Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Wastewater</i>							
	WWTP rehab projects	\$ 513,800			\$ 513,800		
	Media Replacement Biofilter	75,000	75,000				
	Replacement equipment(vehicles)	4,000	4,000				
	Sewer rehab projects	-					
	Total	592,800	79,000	-	513,800	-	-
<i>Operation #2</i>							
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Total	-	-	-	-	-	-
<i>N/A</i>							
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Total	-	-	-	-	-	-
<i>N/A</i>							
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Total	-	-	-	-	-	-
<i>N/A</i>							
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Total	-	-	-	-	-	-
<i>N/A</i>							
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Type in Description	-					
	Total	-	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET		\$ 592,800	\$ 79,000	\$ -	\$ 513,800	\$ -	\$ -

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

5 Year Capital Improvement Plan

Lambertville Municipal Utilities Authority
 For the Period July 1, 2020 to June 30, 2021

Fiscal Year Beginning in

	Estimated Total Cost	Current Budget					
		Year 2021	2022	2023	2024	2025	2026
Wastewater							
WWTP rehab projects	\$ 539,800	\$ 513,800	\$ 26,000				
Media Replacement Biofilter	75,000	75,000					
Replacement equipment(vehicles)	68,000	4,000	35,000	29,000			
Sewer rehab projects	942,000	-	2,000	200,000	200,000	340,000	200,000
Total	1,624,800	592,800	63,000	229,000	200,000	340,000	200,000
Operation #2							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
N/A							
Type in Description	-	-					
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Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
N/A							
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Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
N/A							
Type in Description	-	-					
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Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
N/A							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
TOTAL	\$ 1,624,800	\$ 592,800	\$ 63,000	\$ 229,000	\$ 200,000	\$ 340,000	\$ 200,000

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

Lambertville Municipal Utilities Authority
 For the Period July 1, 2020 to June 30, 2021

	Estimated Total Cost	Funding Sources			
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources
Wastewater					
WWTP rehab projects	\$ 539,800			\$ 539,800	
Media Replacement Biofilter	75,000	75,000			
Replacement equipment(vehicles)	68,000	68,000			
Sewer rehab projects	942,000	2,000		940,000	
Total	1,624,800	145,000	-	1,479,800	-
Operation #2					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-				
N/A					
Type in Description	-				
Type in Description	-				
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Type in Description	-				
Total	-				
N/A					
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Total	-				
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Type in Description	-				
Total	-				
N/A					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-				
TOTAL	\$ 1,624,800	\$ 145,000	\$ -	\$ 1,479,800	\$ -
Total 5 Year Plan per CB-4	\$ 1,624,800				
Balance check					

- If amount is other than zero, verify that projects listed above match projects listed on CB-4.

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.