

**State of New Jersey**  
**Department of Community Affairs**  
**Division of Local Government Services**

**2016 AUTHORITY BUDGET  
TRANSMITTAL PACKAGE**

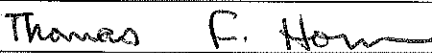
Submit all budget related materials in one package to: *Bureau of Authority Regulation Affairs, Division of Local Government Services, 101 South Broad Street, P.O. Box 803, Trenton, NJ 08625-0803*. Also submit a pdf copy of the budget package to [authoritiesunit@dca.state.nj.us](mailto:authoritiesunit@dca.state.nj.us) with the name of the authority in the subject line. Check the box of each item to indicate that it is included in budget or has been completed.

**2016 Authority Budget Document**

- ☒ 2 copies of the budget document
- ☒ Authority Name and Fiscal Year are filled in
- ☒ Signature blocks on Pages C-2, C-3, C-4 and C-6 are filled in along with title, address, e-mail address, phone number and fax number
- ☒ Resolution of the Authority Commissioners approving the introduced budget is enclosed with properly recorded vote
- ☒ Proposed hearing date for adoption of Budget reflected in Authority Budget Resolution
- ☒ Authority Budget Resolution is signed with original hand written signature
- ☒ Budget Narrative and Information Section is complete

**Capital Budget (Page CB-1 through CB-5)**

- ☒ Authority Name and Fiscal Year are filled in
- ☒ Signature blocks on Page CB-1 are filled in along with title, address, e-mail address, phone number and fax number
- ☒ Capital Budget message is complete

Official's Signature:			
Name:	Thomas F. Horn		
Title:	Executive Director		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address:	thorn@lambertvillemua.com		

2016

Lambertville Municipal Utilities Authority  
Budget

[www.lambertvillemua.com](http://www.lambertvillemua.com)

**Department Of**



**Community  
Affairs**

Division of Local Government Services

# **2016 AUTHORITY BUDGET**

## **Certification Section**

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# 2016 PREPARER'S CERTIFICATION


## LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

### BUDGET

**FISCAL YEAR:** FROM: July 1, 2015 **TO:** June 30, 2016

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

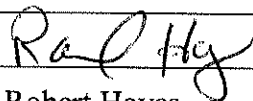
Preparer's Signature:			
Name:	Thomas F. Horn		
Title:	Executive Director		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address	thorn@lambertvillemua.com		

**2016 APPROVAL CERTIFICATION**  
**LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY**  
**BUDGET**

**FISCAL YEAR:    FROM:    July 1, 2015    TO:    June 30, 2016**

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Lambertville Municipal Utilities Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 1st day of April, 2015.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	Robert Hayes		
Title:	Secretary		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address	Rjhchief17@comcast.net		

# INTERNET WEBSITE CERTIFICATION

Authority's Web Address:	www.lambertvillemua.com
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All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- ☒ A description of the Authority's mission and responsibilities
- ☒ Commencing with 2013, the budgets for the current fiscal year and immediately preceding two prior years
- ☒ The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information
- ☒ Commencing with 2012, the annual audits of the most recent fiscal year and immediately two prior years
- ☒ The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- ☒ Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- ☒ Beginning January 1, 2013, the approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- ☒ The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- ☒ A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

Thomas F. Horn

Title of Officer Certifying compliance

Executive Director

Signature

Thomas F. Horn

# LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

## Resolution 023-2015

### INTRODUCING THE 2016 BUDGET

**FISCAL YEAR: From July 1, 2015 to June 30, 2016**

WHEREAS, the Annual Budget and Capital Budget for the Lambertville Municipal Utilities Authority for the fiscal year beginning, July 1, 2015 and ending, June 30, 2016 has been presented before the governing body of the Lambertville Municipal Utilities Authority at its open public meeting of April 1, 2015; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$1,909,741, Total Appropriations, including any Accumulated Deficit if any, of \$1,921,384 and Total Unrestricted Net Assets utilized of \$72,000; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$145,000 and Total Unrestricted Net Assets planned to be utilized as funding thereof, of \$145,000; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the Lambertville Municipal Utilities Authority, at an open public meeting held on April 1, 2015 that the Annual Budget, including all related schedules, and the Capital Budget/Program of the Lambertville Municipal Utilities Authority for the fiscal year beginning, July 1, 2015 and ending, June 30, 2016 is hereby approved; and

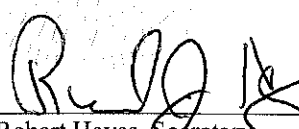
BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the Lambertville Municipal Utilities Authority will consider the Annual Budget and Capital Budget/Program for adoption on June 3, 2015.

Moved: Mr. Hayes

Seconded: Mr. Rotondi

Governing Body Member	Recorded Vote			
	Aye	Nay	Abstain	Absent
Janine MacGregor	X			
Vincent Uhl	X			
Robert Hayes	X			
Paul Rotondi	X			
Joseph Polizzi	X			

  
Robert Hayes, Secretary

April 1, 2015


# 2016 ADOPTION CERTIFICATION

## LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

### BUDGET

FISCAL YEAR: FROM: July 1, 2015 TO: June 30, 2016

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Lambertville Municipal Utilities Authority, pursuant to N.J.A.C. 5:31-2.3, on the 1st day of April, 2015.

Officer's Signature:			
Name:	Robert Hayes		
Title:	Secretary		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address	Rjhchief17@comcast.net		



# LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

## Resolution 031-2015

### Resolution to Adopt the 2016 Budget

**FISCAL YEAR: FROM JULY 1, 2015 TO JUNE 30, 2016**

WHEREAS, the Annual Budget and Capital Budget/Program for the Lambertville Municipal Utilities Authority for the fiscal year beginning July 1, 2015 and ending, June 30, 2016 has been presented for adoption before the governing body of the Lambertville Municipal Utilities Authority at its open public meeting of June 3, 2015; and

WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$ 1,909,741, Total Appropriations, including any Accumulated Deficit, if any, of \$1,921,384 and Total Unrestricted Net Position utilized of \$72,000; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$145,000 and Total Unrestricted Net Position planned to be utilized of \$145,000; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of Lambertville Municipal Utilities Authority, at an open public meeting held on June 3, 2015 that the Annual Budget and Capital Budget/Program of the Lambertville Municipal Utilities Authority for the fiscal year beginning, July 1, 2015 and, ending, June 30, 2016 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

  
(Secretary's Signature)

June 3, 2015

(Date)

Governing Body Member	Recorded Vote		Abstain	Absent
	Aye	Nay		
Janine MacGregor				X
Vincent Uhl	X			
Robert Hayes	X			
Paul Rotondi	X			
Joseph Polizzi	X			
Russell Lambert	X			

# **2016 AUTHORITY BUDGET**

## **Narrative and Information Section**

# 2016 AUTHORITY BUDGET MESSAGE & ANALYSIS LAMBERTVILLE MUNICIPAL UTILITIES

## AUTHORITY BUDGET

FISCAL YEAR: FROM: July 1, 2015 TO: June 30, 2016

*Answer all questions below. Attach additional pages and schedules as needed.*

1. Complete a brief statement on the 2016 proposed Annual Budget and make comparison to the 2015 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

The Authority's 2016 budget shows an increase of \$25,191 or 1.4% . The increase is due to increases in various line items as discussed next. Fringe Benefits under Administration – Personnel is up 23.1% which reflects an increase in health insurance rates from the SHBP. Under Administration – Other, Professional Services are down 18.5% based on anticipated expenses in this category. This also reflects actual expenditures under the current fiscal year. Under Administration – Other, Miscellaneous Administration are down slightly (\$2900) to reflect anticipated expenditures in this category. Fringe Benefits under Cost of Providing Services – Personnel is up 24.6% which reflects an increase in health insurance rates from the SHBP as noted above. Under Non-Operating Appropriations, Total Interest Payments on Debt is down 13.1% to reflect the Debt Service Schedule.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

No increase is anticipated in the rates to Lambertville customers. Stockton and the Bucks County Water and Sewer Authority (BCWSA) pay based on usage. The bills for Stockton and BCWSA are anticipated to decrease based on projected usage for the next fiscal year.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

The local economy is not anticipated to have an impact on the proposed budget.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

Unrestricted Net Position is utilized in the budget to provide the amount to be transferred to the City of Lambertville under 40A:5A-12.1 and fund the 2016 Capital Program.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

**Funds are proposed to be transferred to the City of Lambertville under 40A:5A-12.1 to balance the City budget.**

6. The proposed budget must not reflect an anticipated deficit from 2016 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

**Not Applicable.**

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

**Attached is a copy of the Authority's current rate schedule. No increase in user fees is anticipated for the upcoming fiscal year. Connection fees may change. Our accountant has not calculated the permissible connection fee and the Commissioners have not considered if the connection fee will or will not be changed. As such, a rate schedule for the 2016 fiscal year is not included.**

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

**Not Applicable.**

# AUTHORITY CONTACT INFORMATION

## 2016

Please complete the following information regarding this Authority. All information requested below must be completed.

<b>Name of Authority:</b>	Lambertville Municipal Utilities Authority		
<b>Address:</b>	P.O. Box 300		
<b>City, State, Zip:</b>	Lambertville	NJ	08530
<b>Phone: (ext.)</b>	609-397-1496	<b>Fax:</b>	609-397-1184

<b>Preparer's Name:</b>	Thomas F. Horn		
<b>Preparer's Address:</b>	P.O. Box 300		
<b>City, State, Zip:</b>	Lambertville	NJ	08530
<b>Phone: (ext.)</b>	609-397-1496	<b>Fax:</b>	609-397-1184
<b>E-mail:</b>	thorn@lambertvillemua.com		

<b>Chief Executive Officer:</b>	Thomas F. Horn		
<b>Phone: (ext.)</b>	609-397-1496	<b>Fax:</b>	609-397-1184
<b>E-mail:</b>	thorn@lambertvillemua.com		

<b>Chief Financial Officer:</b>	Kathy Leary		
<b>Phone: (ext.)</b>	609-397-1496	<b>Fax:</b>	609-397-1184
<b>E-mail:</b>	kleary@lambertvillemua.com		

<b>Name of Auditor:</b>	Robert Butvilla		
<b>Name of Firm:</b>	Suplee, Clooney & Company		
<b>Address:</b>	308 East Broad Street		
<b>City, State, Zip:</b>	Westfield	NJ	07090
<b>Phone: (ext.)</b>	908-789-9300	<b>Fax:</b>	908-789-8535
<b>E-mail:</b>	Butvilla@aol.com		

# AUTHORITY INFORMATIONAL QUESTIONNAIRE

## LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: July 1, 2015 TO: June 30, 2016

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in calendar year 2014 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 15
- 2) Provide the amount of total salaries and wages for calendar year 2014 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: \$472,289
- 3) Provide the number of regular voting members of the governing body: 5
- 4) Provide the number of alternate voting members of the governing body: 2
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? No If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year because of their relationship with the Authority file the form as required? Yes If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? No If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.
- 8) Was the Authority a party to a business transaction with one of the following parties:
  - a. A current or former commissioner, officer, key employee, or highest compensated employee? No
  - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? No
  - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? NoIf the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. No If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. *Attach narrative.*
- 11) Did the Authority pay for meals or catering during the current fiscal year? No If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.
- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? Yes If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.

Executive Director – Association of Environmental Authorities November, 2014 Conference - \$216.61 for travel expenses.

**AUTHORITY INFORMATIONAL QUESTIONNAIRE  
(CONTINUED)  
LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY**

**FISCAL YEAR: FROM: July 1, 2015 TO: June 30, 2016**

- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority:
- a. First class or charter travel No
  - b. Travel for companions No
  - c. Tax indemnification and gross-up payments No
  - d. Discretionary spending account No
  - e. Housing allowance or residence for personal use No
  - f. Payments for business use of personal residence No
  - g. Vehicle/auto allowance or vehicle for personal use \_\_\_\_\_
  - h. Health or social club dues or initiation fees No
  - i. Personal services (i.e.: maid, chauffeur, chef) No
- If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.*
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? Yes *If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses.*
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? No *If "yes," attach explanation including amount paid.*
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? No *If "yes," attach explanation including amount paid.*
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? Yes *If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future.*
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? No *If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.*
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? No *If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.*

LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR 2015-2016 BUDGET

PAGE N-3, QUESTION 10 ATTACHMENT

Question 10. Compensation for Chair, Commissioners and Alternate Commissioners is set by Ordinance of Council of the City of Lambertville. Compensation for Executive Director is set by review and approval of Commissioners and then included in written employment contract. Compensation for Chief Financial Officer is set by review and approval of Commissioners.



**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,  
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS  
LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY**

**FISCAL YEAR:    FROM:    July 1, 2015    TO:    June 30, 2016**

*Complete the attached table for all persons required to be listed per #1-4 below.*

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

**Commissioner:** A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

**Officer:** A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

**Key employee:** An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and all related entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

**Highest compensated employee:** One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and related entities is greater than \$100,000 for the most recent fiscal year completed.

**Compensation:** All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

**Reportable compensation:** The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2015, the calendar year 2013 W-2 and 1099 should be used (60 days prior to start of budget year is November 1, 2014, with 2013 being the most recent calendar year ended), and for fiscal years ending June 30, 2016, the calendar year 2014 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2015, with 2014 being the most recent calendar year ended).

**Other Public Entity:** Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

**Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)**

Lambertville Municipal Utilities Authority  
For the Period July 1, 2015 to June 30, 2016

Reportable Compensation from Authority (W-2/1099)																	
Position			Reportable Compensation from Authority (W-2/1099)							Total Compensation All Public Entities							
Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Key Employee	Highest Compensated Employee	Former	Base Salary/ Stipend	Bonus	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where individual is an Employee or Member of the Governing Body	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities
1 Janine MacGregor	Chair	2	x	x	-	-	\$ 1,000	-	-	-	\$ 1,000	-	-	-	0	-	\$ 1,000
2 Vincent Uhl	Vice Chair	1	x	x	-	-	900	-	-	-	900	-	-	-	0	-	900
3 Robert Hayes	Secretary	1	x	x	-	-	900	-	-	-	900	-	-	-	0	-	900
4 Paul Rotondi	Treasurer	1	x	x	-	-	900	-	-	-	900	-	-	-	0	-	900
5 Joseph	Member	1	x	-	-	-	900	-	-	-	900	-	-	-	0	-	900
6 Russell Lambert	Alt #1	1	x	-	-	-	900	-	-	-	900	-	-	-	0	-	900
7 Megan Ruf	Alt #2	1	x	-	-	-	900	-	-	-	900	-	-	-	0	-	900
8 Thomas Horn	Executive Director	40	x	-	-	-	111,990	1,500	-	36,212	149,702	-	-	-	0	-	149,702
9 Kathy Leary	Chief Financial Officer	40	x	-	-	-	58,608	1,250	-	26,277	86,135	-	-	-	0	-	86,135
10																	
11																	
12																	
13																	
14																	
15																	
Total:							\$ 176,998	\$ 2,750	\$ -	\$ 62,489	\$ 242,237				\$ -	\$ -	\$ 242,237

Enter the total number of employees/ independent contractors who received more than \$100,000 in total reportable compensation for the most recent fiscal year completed: 1

# Schedule of Health Benefits - Detailed Cost Analysis

Lambertville Municipal Utilities Authority  
For the Period July 1, 2015 to June 30, 2016

Annual Cost									
	# of Covered Members	Estimate per Employee Proposed Budget	Total Cost Proposed Budget	# of Covered Members	Annual Cost per Employee Current Year	Total Current Year Cost	\$ Increase (Decrease)	% Increase (Decrease)	
Active Employees - Health Benefits - Annual Cost									
Single Coverage	2	\$ 10,763	\$ 21,526	2	\$ 10,280	\$ 20,560	\$ 966	4.7%	
Parent & Child	0	-	-	0	-	-	-	#DIV/0!	
Employee & Spouse (or Partner)	1	21,228	21,228	1	20,008	20,008	1,220	6.1%	
Family	4	28,936	115,744	4	23,788	95,152	20,592	21.6%	
Employee Cost Sharing Contribution (enter as negative - )			(16,661)			(6,942)	(9,719)	140.0%	
Subtotal	7		141,837	7		128,778	13,059	10.1%	
Commissioners - Health Benefits - Annual Cost									
Single Coverage			-			-	-	#DIV/0!	
Parent & Child			-			-	-	#DIV/0!	
Employee & Spouse (or Partner)			-			-	-	#DIV/0!	
Family			-			-	-	#DIV/0!	
Employee Cost Sharing Contribution (enter as negative - )			-			-	-	#DIV/0!	
Subtotal	0		-	0		-	-	#DIV/0!	
Retirees - Health Benefits - Annual Cost									
Single Coverage			-			-	-	#DIV/0!	
Parent & Child			-			-	-	#DIV/0!	
Employee & Spouse (or Partner)			-			-	-	#DIV/0!	
Family			-			-	-	#DIV/0!	
Employee Cost Sharing Contribution (enter as negative - )			-			-	-	#DIV/0!	
Subtotal	0		-	0		-	-	#DIV/0!	
GRAND TOTAL									
	7		\$ 141,837	7		\$ 128,778	\$ 13,059	10.1%	

Is medical coverage provided by the SHBP (Yes or No)?  
Is prescription drug coverage provided by the SHBP (Yes or No)?

Yes  
No

**Lambertville Municipal Utilities Authority**

For the Period July 1, 2015 to June 30, 2016

**Legal Basis for Benefit**  
(check applicable items)

[illegible]

\$	30,671
----	--------

## Schedule of Shared Service Agreements

For the Period

July 1, 2015

10

June 30, 2016

**Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.**

**Amount to be  
Received by/**

Comments (Enter more specifics if needed)

Effective Date
----------------

Agreement	End Date
...	...

**Paid from Authority**

Name of Entity Providing Service

None

[illegible]

# **2016 AUTHORITY BUDGET**

## **Financial Schedules Section**

# 2015 Budget Summary

Lambertville Municipal Utilities Authority  
For the Period July 1, 2015 to June 30, 2016

	Proposed Budget						Current Year Adopted Budget		\$ Increase (Decrease) Proposed vs. Current Year		% Increase (Decrease) Proposed vs. Current Year	
	Wastewater	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	All Operations			
<b>REVENUES</b>												
Total Operating Revenues	\$ 1,908,741	\$ -	\$ -	\$ -	\$ -	\$ 1,908,741	\$ 2,038,770	\$ (130,029)	-6.4%			
Total Non-Operating Revenues	1,000	-	-	-	-	1,000	1,000	-	0.0%			
Total Anticipated Revenues	1,909,741	-	-	-	-	1,909,741	2,039,770	(130,029)	-6.4%			
<b>APPROPRIATIONS</b>												
Total Administration	526,650	-	-	-	-	526,650	520,530	6,120	1.2%			
Total Cost of Providing Services	878,720	-	-	-	-	878,720	844,770	33,950	4.0%			
Total Principal Payments on Debt Service in Lieu of Depreciation	341,783	-	-	-	-	341,783	341,275	508	0.1%			
Total Operating Appropriations	1,747,153	-	-	-	-	1,747,153	1,706,575	40,578	2.4%			
Total Interest Payments on Debt	102,231	-	-	-	-	102,231	117,618	(15,387)	-13.1%			
Total Other Non-Operating Appropriations	72,000	-	-	-	-	72,000	72,000	-	0.0%			
Total Non-Operating Appropriations	174,231	-	-	-	-	174,231	189,618	(15,387)	-8.1%			
Accumulated Deficit	-	-	-	-	-	-	-	-	#DIV/0!			
Total Appropriations and Accumulated Deficit	1,921,384	-	-	-	-	1,921,384	1,896,193	25,191	1.3%			
Less: Total Unrestricted Net Position Utilized	72,000	-	-	-	-	72,000	72,000	-	0.0%			
Net Total Appropriations	1,849,384	-	-	-	-	1,849,384	1,824,193	25,191	1.4%			
ANTICIPATED SURPLUS (DEFICIT)	\$ 60,357	\$ -	\$ -	\$ -	\$ -	\$ 60,357	\$ 215,577	\$ (155,220)	-72.0%			

# 2015 Revenue Schedule

## Lambertville Municipal Utilities Authority

For the Period July 1, 2015 to June 30, 2016

	Proposed Budget						Current Year Adopted Budget	\$ Increase (Decrease) Proposed vs. Current Year	% Increase (Decrease) Proposed vs. Current Year
	Wastewater	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	All Operations
<b>OPERATING REVENUES</b>									
<i>Service Charges</i>									
Residential	\$ 936,204					\$ 936,204	\$ 938,420	\$ (2,216)	-0.2%
Business/Commercial	152,294					152,294	151,760	534	0.4%
Industrial	60,097					60,097	63,240	(3,143)	-5.0%
Intergovernmental	723,146					723,146	869,850	(146,704)	-16.9%
Other	-					-	-	-	#DIV/0!
Total Service Charges	1,871,741	-	-	-	-	1,871,741	2,023,270	(151,529)	-7.5%
<i>Connection Fees</i>									
Residential	27,000					27,000	5,500	21,500	390.9%
Business/Commercial	-					-	-	-	#DIV/0!
Industrial	-					-	-	-	#DIV/0!
Intergovernmental	-					-	-	-	#DIV/0!
Other	-					-	-	-	#DIV/0!
Total Connection Fees	27,000	-	-	-	-	27,000	5,500	21,500	390.9%
<i>Parking Fees</i>									
Meters	-					-	-	-	#DIV/0!
Permits	-					-	-	-	#DIV/0!
Fines/Penalties	-					-	-	-	#DIV/0!
Other	-					-	-	-	#DIV/0!
Total Parking Fees	-	-	-	-	-	-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>									
Delinquent charges	10,000					10,000	10,000	-	0.0%
Other Revenue 2	-					-	-	-	#DIV/0!
Other Revenue 3	-					-	-	-	#DIV/0!
Other Revenue 4	-					-	-	-	#DIV/0!
Total Other Revenue	10,000	-	-	-	-	10,000	10,000	-	0.0%
Total Operating Revenues	1,908,741	-	-	-	-	1,908,741	2,038,770	(130,029)	-6.4%
<b>NON-OPERATING REVENUES</b>									
<i>Grants &amp; Entitlements (List)</i>									
Grant #1	-					-	-	-	#DIV/0!
Grant #2	-					-	-	-	#DIV/0!
Grant #3	-					-	-	-	#DIV/0!
Grant #4	-					-	-	-	#DIV/0!
Total Grants & Entitlements	-	-	-	-	-	-	-	-	#DIV/0!
<i>Local Subsidies &amp; Donations (List)</i>									
Local Subsidy #1	-					-	-	-	#DIV/0!
Local Subsidy #2	-					-	-	-	#DIV/0!
Local Subsidy #3	-					-	-	-	#DIV/0!
Local Subsidy #4	-					-	-	-	#DIV/0!
Total Local Subsidies & Donations	-	-	-	-	-	-	-	-	#DIV/0!
<i>Interest on Investments &amp; Deposits</i>									
Investments	1,000					1,000	1,000	-	0.0%
Security Deposits	-					-	-	-	#DIV/0!
Penalties	-					-	-	-	#DIV/0!
Other Investments	-					-	-	-	#DIV/0!
Total Interest	1,000	-	-	-	-	1,000	1,000	-	0.0%
<i>Other Non-Operating Revenues (List)</i>									
Other Non-Operating #1	-					-	-	-	#DIV/0!
Other Non-Operating #2	-					-	-	-	#DIV/0!
Other Non-Operating #3	-					-	-	-	#DIV/0!
Other Non-Operating #4	-					-	-	-	#DIV/0!
Total Non-Operating Revenues	-	-	-	-	-	-	-	-	#DIV/0!
Total Non-Operating Revenues	1,000	-	-	-	-	1,000	1,000	-	0.0%
<b>TOTAL ANTICIPATED REVENUES</b>	<b>\$ 1,909,741</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,909,741</b>	<b>\$ 2,039,770</b>	<b>\$ (130,029)</b>	<b>-6.4%</b>



# 2014 Revenue Schedule

## Lambertville Municipal Utilities Authority

For the Period July 1, 2015 to June 30, 2016

### Current Year Adopted Budget

	Wastewater	N/A	N/A	N/A	N/A	N/A	Total All Operations
<b>OPERATING REVENUES</b>							
<i>Service Charges</i>							
Residential	\$ 938,420						\$ 938,420
Business/Commercial	151,760						151,760
Industrial	63,240						63,240
Intergovernmental	869,850						869,850
Other							-
Total Service Charges	2,023,270	-	-	-	-	-	2,023,270
<i>Connection Fees</i>							
Residential	5,500						5,500
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Connection Fees	5,500	-	-	-	-	-	5,500
<i>Parking Fees</i>							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
Total Parking Fees	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
Delinquent charges	10,000						10,000
Other Revenue 2							-
Other Revenue 3							-
Other Revenue 4							-
Total Other Revenue	10,000	-	-	-	-	-	10,000
Total Operating Revenues	2,038,770	-	-	-	-	-	2,038,770
<b>NON-OPERATING REVENUES</b>							
<i>Grants &amp; Entitlements (List)</i>							
Grant #1							-
Grant #2							-
Grant #3							-
Grant #4							-
Total Grants & Entitlements	-	-	-	-	-	-	-
<i>Local Subsidies &amp; Donations (List)</i>							
Local Subsidy #1							-
Local Subsidy #2							-
Local Subsidy #3							-
Local Subsidy #4							-
Total Local Subsidies & Donations	-	-	-	-	-	-	-
<i>Interest on Investments &amp; Deposits</i>							
Investments	1,000						1,000
Security Deposits							-
Penalties							-
Other Investments							-
Total Interest	1,000	-	-	-	-	-	1,000
<i>Other Non-Operating Revenues (List)</i>							
Other Non-Operating #1							-
Other Non-Operating #2							-
Other Non-Operating #3							-
Other Non-Operating #4							-
Other Non-Operating Revenues	-	-	-	-	-	-	-
Total Non-Operating Revenues	1,000	-	-	-	-	-	1,000
<b>TOTAL ANTICIPATED REVENUES</b>	<b>\$ 2,039,770</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,039,770</b>

# 2015 Appropriations Schedule

## Lambertville Municipal Utilities Authority

For the Period July 1, 2015 to June 30, 2016

	Proposed Budget						Current Year Adopted Budget	\$ Increase (Decrease) Proposed vs. Current Year	% Increase (Decrease) Proposed vs. Current Year	
	Wastewater	N/A	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	All Operations
<b>OPERATING APPROPRIATIONS</b>										
<i>Administration - Personnel</i>										
Salary & Wages	\$ 213,860						\$ 213,860	\$ 207,300	\$ 6,560	3.2%
Fringe Benefits	108,890						108,890	88,430	20,460	23.1%
Total Administration - Personnel	322,750	-	-	-	-	-	322,750	295,730	27,020	9.1%
<i>Administration - Other (List)</i>										
Professional Services	88,000						88,000	108,000	(20,000)	-18.5%
Insurance	52,000						52,000	50,000	2,000	4.0%
Office Supplies	8,500						8,500	8,500	-	0.0%
Permits	30,000						30,000	30,000	-	0.0%
Miscellaneous Administration*	25,400						25,400	28,300	(2,900)	-10.2%
Total Administration - Other	203,900	-	-	-	-	-	203,900	224,800	(20,900)	-9.3%
Total Administration	526,650	-	-	-	-	-	526,650	520,530	6,120	1.2%
<i>Cost of Providing Services - Personnel</i>										
Salary & Wages	279,110						279,110	266,700	12,410	4.7%
Fringe Benefits	142,110						142,110	114,070	28,040	24.6%
Total COPS - Personnel	421,220	-	-	-	-	-	421,220	380,770	40,450	10.6%
<i>Cost of Providing Services - Other (List)</i>										
Chemicals	87,000						87,000	93,000	(6,000)	-6.5%
Utilities	152,700						152,700	153,700	(1,000)	-0.7%
Sludge	99,000						99,000	95,000	4,000	4.2%
Maintenance services	84,500						84,500	87,000	(2,500)	-2.9%
Miscellaneous COPS*	34,300						34,300	35,300	(1,000)	-2.8%
Total COPS - Other	457,500	-	-	-	-	-	457,500	464,000	(6,500)	-1.4%
Total Cost of Providing Services	878,720	-	-	-	-	-	878,720	844,770	33,950	4.0%
Total Principal Payments on Debt Service in Lieu of Depreciation										
	341,783	-	-	-	-	-	341,783	341,275	508	0.1%
Total Operating Appropriations	1,747,153	-	-	-	-	-	1,747,153	1,706,575	40,578	2.4%
<b>NON-OPERATING APPROPRIATIONS</b>										
Total Interest Payments on Debt	102,231	-	-	-	-	-	102,231	117,618	(15,387)	-13.1%
Operations & Maintenance Reserve							-	-	-	#DIV/0!
Renewal & Replacement Reserve							-	-	-	#DIV/0!
Municipality/County Appropriation	72,000						72,000	72,000	-	0.0%
Other Reserves							-	-	-	#DIV/0!
Total Non-Operating Appropriations	174,231	-	-	-	-	-	174,231	189,618	(15,387)	-8.1%
<b>TOTAL APPROPRIATIONS</b>	1,921,384	-	-	-	-	-	1,921,384	1,896,193	25,191	1.3%
<b>ACCUMULATED DEFICIT</b>							-	-	-	#DIV/0!
<b>TOTAL APPROPRIATIONS &amp; ACCUMULATED DEFICIT</b>	1,921,384	-	-	-	-	-	1,921,384	1,896,193	25,191	1.3%
<b>UNRESTRICTED NET POSITION UTILIZED</b>										
Municipality/County Appropriation	72,000	-	-	-	-	-	72,000	72,000	-	0.0%
Other							-	-	-	#DIV/0!
Total Unrestricted Net Position Utilized	72,000	-	-	-	-	-	72,000	72,000	-	0.0%
<b>TOTAL NET APPROPRIATIONS</b>	\$ 1,849,384	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,849,384	\$ 1,824,193	\$ 25,191	1.4%

\* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 87,357.65 \$ - \$ - \$ - \$ - \$ - \$ - \$ 87,357.65

# 2014 Appropriations Schedule

## Lambertville Municipal Utilities Authority

For the Period July 1, 2015 to June 30, 2016

		Current Year Adopted Budget						Total All
		Wastewater	N/A	N/A	N/A	N/A	N/A	Operations
<b>OPERATING APPROPRIATIONS</b>								
<i>Administration - Personnel</i>								
	Salary & Wages	\$ 207,300						\$ 207,300
	Fringe Benefits	88,430						88,430
	Total Administration - Personnel	295,730	-	-	-	-	-	295,730
<i>Administration - Other (List)</i>								
	Professional Services	108,000						108,000
	Insurance	50,000						50,000
	Office Supplies	8,500						8,500
	Permits	30,000						30,000
	Miscellaneous Administration*	28,300						28,300
	Total Administration - Other	224,800	-	-	-	-	-	224,800
	Total Administration	520,530	-	-	-	-	-	520,530
<i>Cost of Providing Services - Personnel</i>								
	Salary & Wages	266,700						266,700
	Fringe Benefits	114,070						114,070
	Total COPS - Personnel	380,770	-	-	-	-	-	380,770
<i>Cost of Providing Services - Other (List)</i>								
	Chemicals	93,000						93,000
	Utilities	153,700						153,700
	Sludge	95,000						95,000
	Maintenance services	87,000						87,000
	Miscellaneous COPS*	35,300						35,300
	Total COPS - Other	464,000	-	-	-	-	-	464,000
	Total Cost of Providing Services	844,770	-	-	-	-	-	844,770
Total Principal Payments on Debt Service in Lieu of Depreciation		341,275	-	-	-	-	-	341,275
Total Operating Appropriations		1,706,575	-	-	-	-	-	1,706,575
<b>NON-OPERATING APPROPRIATIONS</b>								
Total Interest Payments on Debt		117,618	-	-	-	-	-	117,618
Operations & Maintenance Reserve								-
Renewal & Replacement Reserve								-
Municipality/County Appropriation		72,000						72,000
Other Reserves								-
Total Non-Operating Appropriations		189,618	-	-	-	-	-	189,618
<b>TOTAL APPROPRIATIONS</b>		1,896,193	-	-	-	-	-	1,896,193
<b>ACCUMULATED DEFICIT</b>								
								-
<b>TOTAL APPROPRIATIONS &amp; ACCUMULATED DEFICIT</b>		1,896,193	-	-	-	-	-	1,896,193
<b>UNRESTRICTED NET POSITION UTILIZED</b>								
Municipality/County Appropriation		72,000	-	-	-	-	-	72,000
Other								-
Total Unrestricted Net Position Utilized		72,000	-	-	-	-	-	72,000
<b>TOTAL NET APPROPRIATIONS</b>		\$ 1,824,193	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,824,193

\* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations      \$ 85,328.75    \$ -    \$ -    \$ -    \$ -    \$ -    \$ 85,328.75

## 5 Year Debt Service Schedule - Principal

Lambertville Municipal Utilities Authority

	Fiscal Year Beginning in										Total Principal Outstanding
	Current Year (2014)	2015	2016	2017	2018	2019	2020	Thereafter			
Wastewater											
2002 NIET	\$ 98,997	\$ 105,461	\$ 111,807	\$ 109,907	\$ 116,095	\$ 114,036	\$ 120,066	\$ 249,557	\$ 926,929		
2003 NIET	67,770	66,814	73,939	72,943	71,918	78,754	77,388	255,886	697,642		
2010A NIET	160,508	160,508	165,508	170,508	175,508	175,508	180,508	1,814,572	2,842,620		
2010B NIET	14,000	9,000	14,000	14,000	14,000	19,000	19,000	166,240	255,240		
Total Principal	341,275	341,783	365,254	367,358	377,521	387,298	396,962	2,486,255	4,722,431		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #2									-		
Debt Issuance #3									-		
Debt Issuance #4									-		
Total Principal	-	-	-	-	-	-	-	-	-		
N/A											
Debt Issuance #1									-		
Debt Issuance #											

## Lambertville Municipal Utilities Authority

**F-7**

## 2015 Net Position Reconciliation

Lambertville Municipal Utilities Authority  
For the Period July 1, 2015

to June 30, 2016

### Proposed Budget

TOTAL NET POSITION BEGINNING OF CURRENT YEAR (1)						
	Wastewater	N/A	N/A	N/A	N/A	Total All Operations
Less: Invested in Capital Assets, Net of Related Debt (1)	\$ 7,441,605					\$ 7,441,605
Less: Restricted for Debt Service Reserve (1)	5,112,711					5,112,711
Less: Other Restricted Net Position (1)	799,752					799,752
Total Unrestricted Net Position (1)	1,529,142	-	-	-	-	1,529,142
Less: Designated for Non-Operating Improvements & Repairs	584,877					584,877
Less: Designated for Rate Stabilization						
Less: Other Designated by Resolution	100,000					100,000
Plus: Accrued Unfunded Pension Liability (1)						
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)	30,233					30,233
Plus: Estimated Income (Loss) on Current Year Operations (2)						
Plus: Other Adjustments (attach schedule)						

### UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET

Unrestricted Net Position Utilized to Balance Proposed Budget	874,498	-	-	-	-	-	874,498
Unrestricted Net Position Utilized in Proposed Capital Budget	145,000	-	-	-	-	-	145,000
Appropriation to Municipality/County (3)	72,000	-	-	-	-	-	72,000
Total Unrestricted Net Position Utilized in Proposed Budget	217,000	-	-	-	-	-	217,000

### PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR

(4)	\$ 657,498	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 657,498
-----	------------	------	------	------	------	------	------------

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County \$ 87,358 \$ - \$ - \$ - \$ - \$ - \$ 87,358

(4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2016

LAMBERTVILLE MUNICIPAL  
UTILITIES AUTHORITY  
CAPITAL BUDGET/  
PROGRAM

# 2016 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

## LAMBERTVILLE MUNICIPAL UTILITIES AUTHORITY

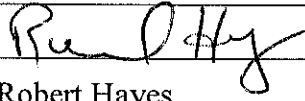
FISCAL YEAR: FROM: July 1, 2015 TO: June 30, 2016

☒ It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Lambertville Municipal Utilities Authority, on the 1st day of April, 2015.

OR

☐ It is hereby certified that the governing body of the Lambertville Municipal Utilities Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s):

---

Officer's Signature:			
Name:	Robert Hayes		
Title:	Secretary		
Address:	P.O. Box 300, Lambertville, NJ 08530		
Phone Number:	609-397-1496	Fax Number:	609-397-1184
E-mail address	Rjhchief17@comcast.net		



# 2015 CAPITAL BUDGET/PROGRAM MESSAGE

## Lambertville Municipal Utilities Authority

**FISCAL YEAR:**    **FROM:**    July 1, 2015    **TO:**    June 30, 2016

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program?

**Yes.**

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority?

**Yes.**

3. Has a long-term (10-20 years) infrastructure needs assessment or other capital plan with a horizon beyond six years been prepared?

**Yes.**

4. Describe the projected impact of the proposed capital projects, including impact on the schedule of rates, fees, and service charges and the impact on current and future year's schedules.

**No impact is anticipated on the Authority's rate schedule due to the proposed capital projects.**

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

**Not Applicable.**

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan.

**Not Applicable.**

*Add additional sheets if necessary.*

# 2015 Proposed Capital Budget

Lambertville Municipal Utilities Authority  
For the Period July 1, 2015 to June 30, 2016

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<b>Wastewater</b>						
Collection system repairs	\$ 50,000	\$ 50,000				
Rehab Swan PS	70,000	70,000				
Replace doors/windows WWTP	15,000	15,000				
Repair influent chamber/garage concrete	10,000	10,000				
Total	145,000	145,000	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>TOTAL PROPOSED CAPITAL BUDGET</b>	<b>\$ 145,000</b>	<b>\$ 145,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

# 5 Year Capital Improvement Plan

Lambertville Municipal Utilities Authority  
For the Period July 1, 2015 to June 30, 2016

	Estimated Total	Fiscal Year Beginning in					
	Cost	Current Year					
		Proposed Budget	2016	2017	2018	2019	2020
Wastewater							
Collection system repairs	\$ 450,000	\$ 50,000	\$ 75,000	\$ 50,000	\$ 100,000	\$ 100,000	\$ 75,000
Rehab Swan PS	161,500	70,000	40,000	51,500			
Replace doors/windows WWTP	35,000	15,000		10,000	10,000		
Repair influent chamber/garage concrete	10,000	10,000					
Total	656,500	145,000	115,000	111,500	110,000	100,000	75,000
N/A							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
N/A							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
N/A							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
N/A							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
N/A							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
TOTAL	\$ 656,500	\$ 145,000	\$ 115,000	\$ 111,500	\$ 110,000	\$ 100,000	\$ 75,000

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

# 5 Year Capital Improvement Plan Funding Sources

## Lambertville Municipal Utilities Authority

For the Period

July 1, 2015

to

June 30, 2016

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<b>Wastewater</b>						
Collection system repairs	\$ 450,000	\$ 450,000				
Rehab Swan PS	161,500	86,500			75,000	
Replace doors/windows WWTP	35,000	35,000				
Repair influent chamber/garage concrete	10,000	10,000				
Total	656,500	581,500	-	-	75,000	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>N/A</b>						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
<b>TOTAL</b>	\$ 656,500	\$ 581,500	\$ -	\$ -	\$ 75,000	\$ -
Total 5 Year Plan per CB-4	\$ 656,500					
Balance check		- If amount is other than zero, verify that projects listed above match projects listed on CB-4.				

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.